

Applicant : Richard G. Ketchum, et al.
Serial No. : 09/208,942
Filed : December 10, 1998
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Attorney's Docket No.: 09857-009001

REMARKS

The above-identified patent application has been amended and reconsideration and reexamination are hereby requested.

The Examiner rejected claims 2-6 under 35 U.S.C. §112, ¶2 as being indefinite. Applicant has amended these claims to recite a position, which finds support in base claim 1. As such the claims are now definite.

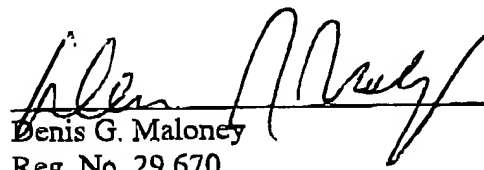
The examiner indicated that all claims were allowable over the art and in view of the amendment to overcome the rejection under 35 U.S.C. 112, second paragraph submits that the case is in condition for allowance.

Attached is a marked-up version of the changes being made by the current amendment.

Applicant asks that all claims be allowed. Enclosed is a \$930 check for Petition for Extension of Time. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 1/10/03


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Version with markings to show changes made

In the claims:

Claims 2, 4 and 6 have been amended as follows:

2. (Twice Amended) The method of claim 1 wherein posting for the proprietary [account] position comprises:

entering quotes for bids and offers into a quote system; and
sending the quotes to a server that disseminates the bid and offer quotes from the market maker, along with quotes for the same security from other market makers.

4. (Twice Amended) The method of claim 3 wherein posting in response to a client order comprises:

entering an order for a security and reflecting that as a the bid quote and/or an offer quote into the quote system over a different session of the quote system than posting of quotes for the proprietary [account] position; and

sending the agency quote to a server that disseminates that agency quote, for display and as being represented by a unique agency identifier with other quotes for the same security from the other market makers.

6. (Twice Amended) The method of claim 1 wherein posting for a proprietary [account] position further comprises:

using a unique market maker identifier for the market maker that is different from market maker identifiers for other market makers, and wherein posting in an agency [account] position further comprises:

using a unique market maker agency identifier for the agency quote that is posted by the market maker that is different from market maker agency identifiers for other market makers.